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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission 3

Application Number	09/900,503
Filing Date	07/06/2001
First Named Inventor	Hu et al.
Art Unit	3625
Examiner Name	Smith, Jeffrey A.
Attorney Docket Number	GUID-012CON

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - PTO Forms 1449 (1 pg.) with 1 Ref. - Postcard
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Remarks

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Firm Name	LAW OFFICE OF ALAN W. CANNON		
Signature			
Printed name	ALAN W. CANNON		
Date	6/6/2005	Reg. No.	34,977

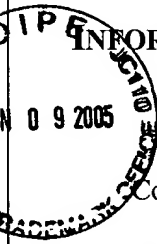
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 <p><b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b></p> <p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p>		Attorney Docket	GUID-012CON
		First Named Inventor	Lawrence W. Hu, et al.
		Application Number	09/900,503
		Filing Date	July 6, 2001
		Group Art Unit	3625
		Examiner Name	Smith, Jefferey A.
		Title	Surgical Retractor Platform Blade Apparatus

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

Form PTO-1449 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the reference have been reviewed and made of record. Copy of the reference is included for the Examiner's review.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653, order No. GUID-012CON may be charged therefore.

Date: 6/6/2005

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Respectfully submitted,  
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Alan W. Cannon  
Registration No. 34,977

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PTO/SB/08A (08-00)

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<p>Substitute for form 1449A/PTO</p> <p><b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				Application Number	09/900,503
				Filing Date	July 6, 2001
				First Named Inventor	Hu et al.
				Group Art Unit	3732
Examiner Name	Jeffery A. Smith				
Sheet	1	of	1	Attorney Docket Number	GUID-012CON

Cite No. <sup>1</sup>	U.S. Patent Documents		Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
	Number	Kind Code <sup>2</sup> (if known)			
A1	6,066,160		May 23, 2000	Colvin et al.	

FOREIGN PATENT DOCUMENTS								
Examin er Initials'	Cite No. 1	Foreign Patent Documents			Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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			(if nown)					

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	

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